

PERFORMANCE AGREEMENT

MADE AND ENTERED BY AND BETWEEN:

**THE RUSTENBURG LOCAL MUNICIPALITY
REPRESENTED BY**

Nqobile Siyabonga Sithole

in her capacity as the **Municipal Manager** of
Rustenburg Local Municipality
(the "Employer")

and

Vincent Peter Bergh

in his capacity as the
Acting Director: Technical and Infrastructure Services
of
Rustenburg Local Municipality
(the "Employee")

(Collectively referred to as the "Parties")

FOR THE 2017/2018 FINANCIAL YEAR

01 AUGUST 2017 - 30 JUNE 2018

A handwritten signature in blue ink, followed by the initials 'NSS' in a stylized, bold font.

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Annexure A: Performance Plan

Annexure B: Personal Development Plan

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PERFORMANCE AGREEMENT

ENTERED INTO BY AND BETWEEN:

The Rustenburg local Municipality represented by **Nqobile Siyabonga Sithole** in her capacity as Municipal Manager (hereinafter referred to as the Employer or Supervisor) and

Vincent Peter Bergh in his capacity as **Acting Director: Technical and Infrastructure Services** (hereinafter referred to as the Employee).

WHEREBY IT IS AGREED AS FOLLOWS:

1. INTRODUCTION

The Employer has entered into a contract of employment with the Employee in terms of section 57(1)(a) of the Local Government Municipal Systems Act 32 of 2000 ("the Systems Act") from 01 August 2017 for a period not exceeding three (3) months . The Employer and the Employee are hereinafter referred to as "the Parties".

- 1.2 Section 57 (1) (b) of the Systems Act, read with the Contract of Employment concluded between the parties, requires the parties to conclude an annual Performance Agreement.
- 1.3 The parties wish to ensure that they are clear about the goals to be achieved and secure the commitment of the Employee to a set of outcomes that will secure local government policy goals.
- 1.4 The parties wish to ensure that there is compliance with Sections 57(4A) and 57(5) of the Systems Act.
- 1.5 In the agreement the following terms will have the meaning ascribed thereto:
 - 1.5.1 this agreement- means the performance agreement between the Employer and Employee and the Annexures thereto;
 - 1.5.2 the Municipal Manager- means the Municipal Manager of the Municipality appointed in terms Section 54A of the Local Government Municipal Systems Act;
 - 1.5.3 the Employee- means the manager appointed in terms of Section 57 of the Systems Act;
 - 1.5.4 the Employer- means Rustenburg Local Municipality; and
 - 1.5.5 the Parties- means the Employer and Employee.

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2. PURPOSE OF THIS AGREEMENT

The purpose of this Agreement is to -

- 2.1 comply with the provisions of Section 57(1) (b), (4A) and (5) of the Systems Act as well as the Contract of Employment entered into between the parties;
- 2.2 specify objectives and targets defined and agreed with the Employee and to communicate to the Employee the Employer's expectations of the Employee's performance and accountabilities in alignment with the Integrated Development Plan, Service Delivery Budget and Implementation Plan (SDBIP) and the budget of the municipality.
- 2.3 specify accountabilities as set out in the Performance Plan (Annexure A);
- 2.4 monitor and measure performance against set targeted outputs;
- 2.5 use the Performance Agreement and Performance Plan as the basis for assessing the suitability of the Employee for employment and/or to assess whether the Employee has met the performance expectations applicable to his job;
- 2.6 appropriately reward the Employee in accordance with the Employer's performance management policy in the event of outstanding performance; and
- 2.7 give effect to the Employer's commitment to a performance-orientated relationship with the Employee in attaining equitable and improved service delivery.

3 COMMENCEMENT AND DURATION

- 3.1 Notwithstanding the date of signature hereto, this Agreement will commence on the **01 July 2017 to 30 June 2018** where after a new Performance Agreement, Performance Plan and Personal Development Plan shall be concluded between the parties for the next financial year or any portion thereof.
- 3.2 This Agreement will terminate on the termination of the Employee's contract of employment for any reason.


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- 3.3 If at any time during the validity of this Agreement the work environment alters to the extent that the contents of this Agreement are no longer appropriate, the contents must by mutual agreement between the parties, immediately be revised.

4. PERFORMANCE OBJECTIVES

- 4.1 The Performance Plan (Annexure A) sets out-

- a. the performance objectives and targets that must be met by the Employee; and
- b. the time frames within which those performance objectives and targets must be met.

- 4.2 The performance objectives and targets reflected in Annexure A are set by the Employer in consultation with the Employee and based on the Integrated Development Plan, Budget and Service Delivery, Budget and Implementation Plan of the Employer, and shall include key objectives; key performance indicators; target dates and weightings.

- 4.3 The key objectives describe the main tasks that need to be done. The key performance indicators provide the details of the evidence that must be provided to show that a key objective has been achieved. The target dates describe the timeframe in which the work must be achieved. The weightings show the relative importance of the key objectives to each other.

- 4.4 The Employee's performance will in addition, be measured in terms of contributions to the goals and strategies set out in the Employer's Integrated Development Plan.

5. PERFORMANCE MANAGEMENT SYSTEM

- 5.1 The Employee agrees to participate in the performance management system that the Employer adopts or introduces for the municipality.

- 5.2 The Employee accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the Employer, management and municipal staff to perform to the standards required.

- 5.3 The Employer will consult the Employee about the specific performance standards that will be included in the performance management system as applicable to the Employee.
- 5.4 The employee undertakes to actively focus towards the promotion and implementation of the Key Performance Areas (KPA's) (including special projects relevant to the employee's responsibilities) within the local government framework.
- 5.5 The criteria upon which the performance of the employee must be assessed consist of two components, both of which must be contained in the performance agreement. The employee must be assessed against both components, with a weighting of 80:20 allocated to the Key Performance Areas (KPA's) and the Core Competency Requirements (CCRs), respectively. Each area of assessment will be weighted and will contribute a specific part to the total score. KPA's covering the main areas of work will account for 80% and CCRs will account for 20% of the final assessment.
- 5.6 The employee's assessment will be based on his or her performance in terms of the outputs/outcomes (performance indicators) identified as per the performance plan which are linked to the KPA's, which constitute 80% of the overall assessment result as per the weightings agreed to between the employer and employee.

KEY PERFORMANCE AREAS	WEIGHTING
Basic Service Delivery	50
Municipal Institutional Development and Transformation	0
Local Economic Development	5
Municipal Financial Viability	23
Good Governance and Public Participation	22
Total	100%

- 5.7 In the case of managers directly accountable to the municipal manager, key performance areas related to the functional area of the relevant manager must be subject to negotiation between the municipal manager and the relevant manager.



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CORE COMPETENCY REQUIREMENTS FOR EMPLOYEES (CCR)

Core Managerial and Occupational Competencies	√ (Indicate choice and proficiency level)					Weight
	Choice	Basic	Competent	Advanced	Expert	
<i>Core Managerial Competencies:</i>						
Strategic Capability and Leadership			√			20
Programme and Project Management			√			20
Financial Management	Compulsory		√			20
Change Management						
Knowledge Management						
Service Delivery Innovation						
Problem Solving and Analysis						
People Management and Empowerment	Compulsory		√			20
Client Orientation and Customer Focus	Compulsory		√			20
Communication						
Honesty and Integrity						
<i>Core Occupational Competencies:</i>						
Competence in Self Management						
Interpretation of and implementation within the legislative and national policy frameworks						
Knowledge of developmental local government						
Knowledge of Performance Management and Reporting						
Knowledge of global and South African specific political, social and economic contexts						
Competence in policy conceptualisation, analysis and implementation						
Knowledge of more than one functional municipal field / discipline						
Skills in Mediation						
Skills in Governance						
Competence as required by other national line sector departments						
Exceptional and dynamic creativity to improve the functioning of the municipality						
<i>Total percentage</i>	-					100%


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6 EVALUATING PERFORMANCE

- 6.1 The Performance Plan (**Annexure A**) to this Agreement sets out -
- a. the standards and procedures for evaluating the Employee's performance; and
 - b. the intervals for the evaluation of the Employee's performance.
- 6.2 Despite the establishment of agreed intervals for evaluation, the Employer may in addition review the Employee's performance at any stage while the contract of employment remains in force.
- 6.3 Personal growth and development needs identified during any performance review discussion must be documented in a Personal Development Plan as well as the actions agreed to and implementation must take place within set time frames.
- 6.4 The Employee's performance will be measured in terms of contributions to the goals and strategies set out in the Employer's IDP.
- 6.5 The annual performance appraisal must involve:
- a. Assessment of the achievement of results as outlined in the performance plan:
 - (i) Each KPA should be assessed according to the extent to which the specified standards or performance indicators have been met and with due regard to ad hoc tasks that had to be performed under the KPA.
 - (ii) An indicative rating on the five-point scale should be provided for each KPA.
 - (iii) The applicable assessment rating calculator must then be used to add the scores and calculate a final KPA score.
 - b. Assessment of the CCR's
 - (i) Each CCR should be assessed according to the extent to which the specified standards have been met.



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- (ii) An indicative rating on the five-point scale should be provided for each CCR.
- (iii) This rating should be multiplied by the weighting given to each CCR during the contracting process to provide a score.
- iv) The applicable assessment rating calculator must then be used to add the scores and calculate a final CCR score.

c. Overall rating

- (i) An overall rating is calculated by using the applicable assessment-rating calculator. Such overall rating represents the outcome of the performance appraisal.
- (ii) The assessment of the performance of the Employee will be based on the following rating scale for KPA's and CCR's:

Level	Terminology	Description	Rating				
			1	2	3	4	5
5	Outstanding performance	Performance far exceeds the standard expected of an employee this level. The appraisal indicates that the Employee has achieved above fully effective results against all performance criteria and indicators as specified in the PA and Performance plan and maintained this in all areas of responsibility throughout the year.					
4	Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and fully achieves all others throughout the year.					
3	Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and Performance Plan.					
2	Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performance criteria and indicators					

		as specified in the PA and Performance Plan	
1	Unacceptable performance	Performance does not meet the standard expected for the job. The review/assessment indicates that the employee has achieved almost all of the performance criteria and indicators as specified in the PA and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement.	

d) For purposes of evaluating the **annual performance** of the managers directly accountable to the municipal managers, an evaluation panel constituted of the following persons must be established -

- (i) Municipal Manager;
- (ii) Chairperson of the performance audit committee or the audit committee in the absence of a performance audit committee;
- (iii) Member of the mayoral or executive committee or in respect of a plenary type municipality, another member of council; and
- (iv) Municipal manager from another municipality.

7. SCHEDULE FOR PERFORMANCE REVIEWS

7.1 The performance of each employee in relation to his/her performance agreement must be reviewed on the following dates with the understanding that reviews in the first and third quarter may be verbal if performance is satisfactory:

1st quarter: Not later than end of the second week of October.

2nd quarter: Not later than end of the first week of January.

3rd quarter: Not later than end of the second week of April.

4th quarter and annual review: First week of August

7.2 The Employer shall keep a record of the mid-year review and annual assessment meetings.

7.3 Performance feedback must be based on the Employer's assessment of the Employee's performance.

7.4 The Employer will be entitled to review and make reasonable changes to the provisions of **Annexure A** from time to time for operational reasons on agreement between both parties.

- 7.5 The Employer may amend the provisions of Annexure A whenever the performance management system is adopted, implemented and/or amended as the case may be on agreement with both parties.

8. DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan (PDP) for addressing developmental gaps must form part of the performance agreement. (For the remainder of the 2016/2017 financial year it will not be applicable)

9. OBLIGATION OF THE EMPLOYER

9.1 The Employer must –

- a. Create an enabling environment to facilitate effective performance by the employee;
- b. Provide access to skills development and capacity building opportunities;
- c. Work collaboratively with the Employee to solve problems and generate solutions to common problems that may impact on the performance of the Employee;
- d. On the request of the Employee delegate such powers reasonably required by the Employee to enable him/her to meet the performance objectives and targets established in terms of this Agreement; and
- e. Make available to the Employee such resources as the Employee may reasonably require from time to time to assist him/her to meet the performance objectives and targets established in terms of this Agreement.



10. CONSULTATION

10.1 The Employer agrees to consult the Employee timeously where the exercising of the powers will have amongst others –

- a. A direct effect on the performance of any of the Employee's functions;
- b. Commit the Employee to implement or to give effect to a decision made by the Employer; and
- c. A substantial financial effect on the Employer.

10.2 The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated clause 10.1 above, as soon as is practicable to enable the Employee to take any necessary action without delay.

11. MANAGEMENT OF EVALUATION OUTCOMES

11.1 The evaluation of the Employee's performance will form the basis for rewarding outstanding performance or correcting unacceptable performance.

11.2 A performance bonus of 5% to 14% of the all-inclusive annual remuneration package shall be paid to the Employee in recognition of performance, in determining the performance bonus the relevant percentage is based on the overall rating, calculated by using the applicable assessment-rating calculator.

The performance bonus will be awarded based on the following scheme:

A score of 130 - 149% is awarded a bonus from 5% - 9% and a score of 150% and above is awarded a bonus from 10% - 14%;

No	Final Score	Per cent Performance Bonus
1	130.0%	5.0%
2	131.0% -135.0%	6.0%
3	136.0% -140.0%	7.0%
4	141.0% - 145.0%	8.0%
5	146.0% - 149.0%	9.0%
6	150.0% -154.0%	10.0%
7	155.0% - 159.0%	11.0%
8	160.0% - 164.0%	12.0%
9	165.0% - 169.0%	13.0%
10	170.0% - 175.0%	14.0%


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11.3 In the case of unacceptable performance, the Employer shall –

- a. Provides systematic remedial or developmental support to assist the Employee to improve his or her performance; and
- b. After appropriate performance counselling and having provided the necessary guidance and/ or support as well as reasonable time for improvement in performance, the Employer may consider steps to terminate the contract of employment of the Employee on grounds of unfitness or incapacity to carry out his or her duties.

12. DISPUTE RESOLUTION

12.1 Any disputes about the nature of the employee's performance agreement, whether it relates to key responsibilities, priorities, methods of assessment and/ or salary increment in the agreement, must be mediated by –

- (a) In the case of managers directly accountable to the municipal manager, the executive mayor or mayor within thirty (30) days of receipt of a formal dispute from the employee;

whose decision shall be final and binding on both parties.

12.2 Any disputes about the outcome of the employee's performance evaluation, must be mediated by –

- (a) In the case of managers directly accountable to the municipal manager, a member of the municipal council, provided that such member was not part of the evaluation panel provided for in sub-regulation 27(4)(e), within thirty (30) days of receipt of a formal dispute from the employee;

whose decision shall be final and binding on both parties.

13. GENERAL

13.1 The contents of this performance agreement must be made available to the public by the Employer;

13.2 Nothing in this agreement diminishes the obligation, duties or accountabilities of the Employee in terms of his or her contract of employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.

14. SIGNATURES

Signed at **RUSTENBURG** on this 01st day of August 2017

AS WITNESSES:

1.  _____

2.  _____




Vincent Peter Bergh
Acting Director: Technical and Infrastructure
Services

Signed at **RUSTENBURG** on this 01st day of August 2017

AS WITNESSES:

1.  _____

2.  _____



Nqobile Siyabonga Sithole
Municipal Manager

PERFORMANCE PLAN: ACTING DIRECTOR TECHNICAL AND INFRASTRUCTURE SERVICES 2017/2018

NATIONAL KPA 1: BASIC SERVICE DELIVERY

Strategic Priority	Objective	Project Owner	Project	Project Manager	Sub Project	Sub Project Manager	Portfolio of evidence	Baseline	KPI No.	Key Performance Indicator	Weight	Annual Target	Q1 Target - Sept 2017	Q2 Target - Dec 2017	Q3 Target - Mar 2018	Q4 Target - June 2018
Develop and sustain a spatial, natural and built environment	1.2 Improved service delivery through provision of high quality, reliable and cost effective infrastructure based on integrated spatial planning	P Bergh	Water Distribution Losses Reduction	Z Mbolekwa -Pupuma	Reduction of water losses	W Simelane	12 Monthly reports on water losses.	43.15%	1	Percentage reduction in water distribution losses	5	10%	2%	4%	7%	10%
							Completed design; Appointment letters of consultant and contractor; Progress report on construction; Completion certificates	38%	2	Percentage completion of water infrastructure grant (WSIG) projects	10	100%	0%	10%	50%	100%
		P Bergh	Upgrading and refurbishment of water networks	Z Mbolekwa -Pupuma	Water infrastructure grant (WSIG) projects	W Simelane	Completed design; Appointment letters of consultant and contractor; Progress report on construction; Completion certificates	New KPI	3	Percentage completion of AC pipes replacement projects	5	100%	0%	10%	50%	100%
							Completed design; Appointment letters of consultant and contractor; Progress report on construction; Completion certificates	New KPI	4	Percentage construction of Tierkloof of Reservoir and Bulk Pipeline	5	100%	0%	10%	50%	100%
					Construction of Reservoir and Bulk Pipeline		Completed design; Appointment letters of consultant and contractor; Progress report on construction; Completion certificates	New KPI				R 17,1m	Procurement	R 1,7m	R 8,5m	R 17,1m

Strategic Priority	Objective	Project Owner	Project	Project Manager	Sub Project	Sub Project Manager	Portfolio of evidence	Baseline	KPI No.	Key Performance Indicator	Weight	Annual Target	Q1 Target - Sept 2017	Q2 Target - Dec 2017	Q3 Target - Mar 2018	Q4 Target - June 2018
					Bospoort WTW, New Bospoort North Reservoir and Bulk pipeline		Completed design; Appointment letters of consultant and contractor; Progress report on construction; Completion certificates	New KPI	5	Percentage upgrade of Bospoort WTW, New Bospoort North Reservoir and Bulk pipeline	5	100%	0%	25%	50%	100%
												R 41,3m	Procurement	R 10,3m	R 20,6m	R 41,3m
			Upgrading and refurbishment of outfall sewer lines	Z Mokone	Upgrading of outfall sewer lines to Rustenburg WWTW	W Simelane	Completed design; Appointment letters of consultant and contractor; Progress report on construction; Completion certificates	New KPI	6	Percentage completion of sewer reticulation projects	10	100%	0%	10%	50%	100%
					Refurbishment of Sewer Treated Water Reticulation System											
					Refurbishment of Sewer Treatment Reticulation							R 15,5	Procurement	R 1,5m	R 7,7m	R 15,5
					Upgrading of bulk sewer lines to the WWTW											

Strategic Priority	Objective	Project Owner	Project	Project Manager	Sub Project	Sub Project Manager	Portfolio of evidence	Baseline	KPI No.	Key Performance Indicator	Weight	Annual Target	Q1 Target - Sept 2017	Q2 Target - Dec 2017	Q3 Target - Mar 2018	Q4 Target - June 2018
					Western Area											
					Replacement of Tlhabane Sewer Bulk Line											
			Upgrading and refurbishment of electrical network	P Bergh	Upgrading/refurbishment of 33KV Substations	M Mapankola	Completed design; Appointment letters of consultant and contractor; Progress report on construction; Completion certificate	New KPI	7	Percentage completion of electrical services projects	10	100%	0%	10%	50%	100%
					Cashan Ext 28 Internal Electrical Network Phase 2											
					Motor City Substation Phase 2											
					Waterkloof Substation Phase 4											
					Waterkloof Substation - Inter Connection to new Eskom Switching Station							R 31,6m	Procurement	R 3,1m	R 15,8m	R 31,6m
					Waterkloof											

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Strategic Priority	Objective	Project Owner	Project	Project Manager	Sub Project	Sub Project Manager	Portfolio of evidence	Baseline	KPI No.	Key Performance Indicator	Weight	Annual Target	Q1 Target - Sept 2017	Q2 Target - Dec 2017	Q3 Target - Mar 2018	Q4 Target - June 2018
					Substation Phase 4											
										TOTAL	50					

NATIONAL KPA 3 : LOCAL ECONOMIC DEVELOPMENT

Objective	Project Owner	Project	Project Manager	Sub Project	Sub Project Manager	Portfolio of Evidence	Baseline	KPI No.	Key Performance Indicator	Weight	Annual Target	Q1 Target - Sept 2017	Q2 Target - Dec 2017	Q3 Target - Mar 2018	Q4 Target - June 2018
Build and support broad-based black economic empowerment and sustainable Small, Medium and Micro Enterprises (SMME's) business development	P Bergh	Job Creation	All Unit Managers	Water, Sanitation, Electricity.	All Section Managers	Signed lists of jobs created	0	8	Number of jobs created through local economic development initiatives including capital projects	5	200	Procurement	75	150	200
									TOTAL	5					


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NATIONAL KPA 4 : MUNICIPAL FINANCIAL VIABILITY AND MANAGEMENT

Strategic Priority	Objective	Project Owner	Project	Project Manager	Sub Project	Sub Project Manager	Portfolio of Evidence	Baseline	KPI No.	Key Performance Indicator	Weight	Annual Target	Q1 Target - Sept 2017	Q2 Target - Dec 2017	Q3 Target - Mar 2018	Q3 Target - June 2018
3. Ensure sustainable municipal financial viability and management	3.1 Develop and implement integrated financial systems to support municipal programmes and ensure internal financial sustainability	P Bergh	Accelerate spending on capital project in line with procurement plan and cash flow	All Unit Managers	Payment of service providers within 30 days in line with the cash flow projections and procurement plan	All Section Managers	Signed BTO spread sheet; IDP extract	71%	9	Percentage of directorates' capital budget actually spent on capital projects identified for a particular financial year in terms of the municipality's integrated development plan	7	95%	0%	35%	75%	95%
												R 346,9m	Procurement	R 121,4m	R 260,2m	R 346,9m
		P Bergh	Accelerate spending on operational project in line with cash flow projections	All Unit Managers	Payment of service providers within 30 days in line with the cash flow projection	All Section Managers	Signed BTO spread sheet; SDBIP extract	39%	10	Percentage expenditure on the approved operational budget	6	95%	0%	35%	75%	95%
												R 3 152,6m	Procurement	R 1 103,4m	R 2 364,4m	R 3 152,6m
		P Bergh	Spending over time project in line with cash flow projections	All Unit Managers	Payment of service providers within 30 days in line with the cash flow projection	All Section Managers	Signed BTO spread sheet	0%	11	Percentage expenditure on approved overtime	5	95%	25%	50%	75%	95%
												R 20,3m	R 5,09m	R 10,1m	R 15,2m	R 20,3m

		P Bergh	Clean Audit	All Unit Managers	Expenditure Management	All Section Managers	Deviation Report	New KPI	12	Number of deviations resulting in unauthorised, irregular and fruitless expenditure.	5	0	0	0	0	0
										TOTAL	23					

NATIONAL KPA 5 : GOOD GOVERNANCE AND PUBLIC PARTICIPATION

Strategic Priority	Objective	Project Owner	Project	Project Manager	Sub Project	Sub Project Manager	Portfolio of evidence	Baseline	KPI No.	Key Performance Indicator	Weight	Annual Target	Q1 Target - Sept 2017	Q2 Target - Dec 2017	Q3 Target - Mar 2018	Q4 Target - June 2018
6. Uphold good governance and public participation principles	6.1 Drive good governance and legislative compliance in all municipal processes	P Bergh	Development of IDP documents	All Unit Managers	Consolidation of unit inputs	All Section Managers	Acknowledgement of receipts	April 2017	13	Percentage completion of the review/amendment of the 5-year IDP	4	100%			50%	100%
			Development of Technical SDBIP	All Unit Managers	Consolidation of unit inputs	All Section Managers	Acknowledgement of receipt	0	14	Percentage submission of the Technical Service Delivery and Budget Implementation Plan (SDBIP) 2018/2019	3	100%				100%
			Performance Management System Implementation	All Unit Managers	Consolidation of unit inputs	All Section Managers	Performance Agreement of performance reviews; attendance register; quarterly reports	0	15	Percentage implementation of Performance Management System	3	100%	100%	100%	100%	100%

Strategic Priority	Objective	Project Owner	Project Management	Project Manager	Sub Project	Sub Project Manager	Portfolio of evidence	Baseline	KPI No.	Key Performance Indicator	Weight	Annual Target	Q1 Target - Sept 2017	Q2 Target - Dec 2017	Q3 Target - Mar 2018	Q4 Target - June 2018
		P Bergh	Risk Management	All Unit Managers	Risk Assessment	All Section Managers	Risk Registers	0	16	Percentage implementation of risk mitigation	3	100%	25%	50%	75%	100%
		P Bergh	Public Participation	All Unit Managers	Attendance of public participation meetings	All Section Managers	Attendance Registers (Mayoral, Imbizo's, Representative Foras, Multi-stakeholder, Annual Report and Steering Committee meetings)	New KPI	17	Percentage of public participation meetings attended	3	100%	100%	100%	100%	100%
		P Bergh	Response to external audit queries	All Unit Managers	Consolidation of unit inputs	All Section Managers	Acknowledgement of external audit	New KPI	18	Percentage of external audit queries responded to within the set timeframe	3	100%				100%
			Response to internal audit queries	All Unit Managers	Consolidation of unit inputs	All Section Managers	Acknowledgement of receipt from internal audit	New KPI	19	Percentage of internal Audit queries responded to within the set timeframe	3	100%	100%	100%	100%	100%
										TOTAL	22					
										GRAND TOTAL	100					

V P Bergh

Acting Director: Infrastructure and Technical Services

Date: 2017/08/01

N S Sithole

Municipal Manager

Date: 01/08/2017

PERSONAL DEVELOPMENT PLAN (PDP)

Entered into by and between

Nqobile Siyabonga Sithole

["the Employer"]

and

Vincent Peter Bergh

["the Employee"]

Handwritten signature and initials, possibly 'NBS', in the bottom right corner.

1. Personal Development Plan

1.1.1 A Municipality should be committed to –

- (a) the continuous training and development of its employees to achieve its vision, mission and strategic objectives and empower employees; and
- (b) Managing training and development within the ambit of relevant national policies and legislation.

1.1.2 A Municipality should follow an integrated approach to Human Resource Management, that is:

- (a) Human resource development forms an integral part of human resource planning and management.
- (b) In order for training and development strategy and plans to be successful it should be based on sound Human Resource (HR) practices, such as the (strategic) HR Plan, job descriptions, the result of regular performance appraisals and career pathing.
- (c) To ensure the necessary linkage with performance management, the Performance Management and Development System provides for the Personal Development Plans of employees to be included in their annual performance agreements. Such approach will also ensure the alignment of individual performance objectives to the municipality's strategic objectives, and that training and development needs can be identified through performance management and appraisal.
- (d) Career-pathing ensures that employees are placed and developed in jobs according to aptitude and identified potential. Through training and development they can acquire the necessary competencies to prepare them for future positions. A comprehensive competency framework and profile for Municipal Managers are attached and these should be linked to relevant registered unit standards to specifically assist them in compiling Personal Development Plans in consultation with their managers.
- (e) Personal Development Plans are compiled for individual employees and the data collated from all employees in the municipality forms the basis for the prescribed Workplace Skills Plan, which municipalities are required to compile as a basis for all training and education activities in the municipality in a specific financial year and report on progress made to the Local Government Sector Education and Training Authority.

1.1.3 The aim of the compilation of Personal Development Plans is to identify, prioritise and implement training needs.

1.1.4 Compiling the Personal Development Plan attached at Annexure B.

- (a) Competency assessment instruments, which are dealt with more specifically in main Performance agreement, should be established to assist with the objective assessment of employees' actual competencies against their job specific competency profiles and managerial competencies at a given period in time with the purpose of identifying training needs or skills gaps.
- (b) The competency framework and profiles and relevant competency assessment results will enable a manager, in consultation with his / her employee, to **compile a Personal Development Plan**. The identified training needs should be **entered into column on Annexure B, entitled Skills / Performance Gap**. The following should be carefully determined during such a process:
 - (i) Organisational needs, which include the following:
 - o Strategic development priorities and competency requirements, in line with the municipality's strategic objectives.
 - o The competency requirements of individual jobs. The relevant job requirements (job competency profile) as identified in the job

description should be compared to the current competency profile of the employee to determine the individual's competency gaps.

- Specific competency gaps as identified during the probation period and performance appraisal of the employee.

(ii) Individual training needs that are job / career related.

- (c) The **prioritization of the training needs [1 to 1]** should be listed since it may not be possible to address all identified training needs in a specific financial year. It is however of critical importance that training needs be addressed on a phased and priority basis. This implies that all these needs should be prioritized for purposes of accommodating critical / strategic training and development needs in the HR Plan, Personal Development Plans and the Workplace Skills Plan.
- (d) Consideration must then be given to the **expected outcomes**, to be listed in **column 2 of Annexure B**, so that once the intervention is completed the impact it had can be measured against relevant output indicators.
- (d) **An appropriate intervention** should be identified to address training needs / skills gaps and the outcome to be achieved but with due regard to cost effectiveness. These should be listed in **column 3 of Annexure B, entitled: Suggested training and / or development activity** in line with the National Qualifications Framework, which could enable the trainee to obtain recognition towards a qualification for training undertaken. It is important to determine through the Training / Human Resource Development / Skills Development Unit within the municipality whether unit standards have been developed and registered with the South African Qualifications Authority that are in line with the skills gap and expected outcomes identified. Unit standards usually have measurable assessment criteria to determine achieved competency.
- (e) **Guidelines regarding the number of training days per employee and the nominations of employees:** An employee should on average receive at least five days of training per financial year and not unnecessarily be withdrawn from training interventions.
- (f) **Column 4 of Annexure B: The suggested mode of delivery** refers to the chosen methodology that is deemed most relevant to ensure transfer of skills. The training / development activity should impact on delivery back in the workplace. Mode of delivery consists of, amongst others, self-study [The official takes it upon him / her to read e.g. legislation]; internal or external training provision; coaching and / or mentoring and exchange programmes, etc.
- (g) The **suggested time frames (column 5 of Annexure B)** enable managers to effectively plan for the annum e.g. so that not all their employees are away from work within the same period and also ensuring that the PDP is implemented systematically.
- (h) **Work opportunity created to practice skill / development areas, in column 6 of Annexure B**, further ensures internalisation of information gained as well as return on investment (not just a nice to have skill but a necessary to have skill that is used in the workplace).
- (i) The final column, **column 7 of Annexure B**, provides the employee with a **support person** that could act as coach or mentor with regard to the area of learning.

Personal Development Plan of: Vincent Peter Bergh

Annexure B

1. Skills / Performance Gap (in order of priority)	2. Outcomes Expected (measurable indicators: quantity, quality and time frames)	3. Suggested training and / or development activity	4. Suggested mode of delivery	5. Suggested Time Frames	6. Work opportunity created to practice skill / development area	7. Support Person
Strategic Leadership	Competency Reports	Attendance of workshops/seminars	Class-based workshops	12 months	-	Municipal Manager
Financial Management	Competency Reports	Attendance of workshops/seminars	Class-based workshops	12 months	-	Municipal Manager
People Management	Competency Reports	Attendance of workshops/seminars	Class-based workshops	12 months	-	Municipal Manager
Client Orientation and Customer Focus	Competency Reports	Attendance of workshops/seminars	Class-based workshops	12 months	-	Municipal Manager

Employee's signature :

Manager's signature: